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PTO/SB/21 (6-98)  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/965,071
	Filing Date	9/27/2001
	First Named Inventor	Terrance Sick
	Group Art Unit	2171
	Examiner Name	
Total Number of Pages in This Submission	4+	Attorney Docket Number 2000-05

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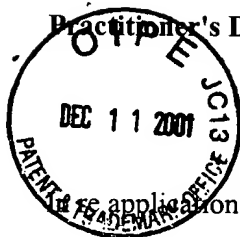
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Application No.: 09/965,071

Group No.: 2171

Filed: 9/27/2001

Examiner:

For: "Computer-Based System and Method for Searching and Screening Financial Securities and Relevant Intellectual Property"

Assistant Commissioner for Patents  
Washington, D.C. 20231

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The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 11/29/2001

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PTO/SB/08B (10-96)

Substitute for form 1449B/PTO

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Sheet	2	of	1
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**C m p l t If Known**

Application Number	09/965,071
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Filing Date	09/27/2001
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First Named Inventor	Terrance Sick
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Group Art Unit	2171
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**Examiner Name**

Attorney Docket Number	2000-05
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**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, series, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T2

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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